SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Funck Robert E			2. Date of Event Requiring States (Month/Day/Yea 03/01/2013	ment	3. Issuer Name and Ticker or Trading Symbol <u>ABBOTT LABORATORIES</u> [ABT]								
(Last) (First) (Middle) 100 ABBOTT PARK ROAD				4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify			5. If Amendment, Date of Original Filed (Month/Day/Year)						
(Street) ABBOTT IL 60064 PARK			_		X below) bel Vice President, Control			6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)											
	Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security (Instr. 4) 2. Amount of Securities 3. Ownership 4. Nature of Indirect Beneficial Ownership												
1. Title of Security (Instr. 4)					. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of indirect Beneficial Ownership (Instr. 5)					
Common shar	res without p	ar value			60,228	D							
Common shares without par value					1 5,300 ⁽¹⁾	I	Pr	Profit Sharing Trust					
Common shares without par value					500 ⁽²⁾	I	By	y daughter					
Common shares without par value					500 ⁽²⁾	I	Ву	y daughter					
					e Securities Beneficially nts, options, convertible		s)						
1. Title of Derivative Security (Instr. 4)			2. Date Exerc Expiration Da (Month/Day/	ate	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversio or Exercis	se Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)				
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)					
Option (right to buy) ⁽³⁾			02/18/2006	02/17/2015	Common shares	4,233	22.267	' D					
Option (right to buy) ⁽³⁾			02/18/2007	02/17/2015	Common shares	4,233	22.267	' D					
Option (right to buy) ⁽³⁾			02/18/2008	02/17/2015	Common shares	2,076	22.267	' D					
Option (right to buy) ⁽³⁾			02/17/2007	02/16/2016	Common shares	8,667	21.2194	4 D					
Option (right to buy) ⁽³⁾			02/17/2008	02/16/2016	Common shares	8,666	21.2194	4 D					
Option (right to buy) ⁽³⁾			02/17/2009	02/16/2016	Common shares	8,667	21.2194	4 D					
Option (right to buy) ⁽³⁾			02/16/2008	02/15/2017	Common shares	8,934	25.246	1 D					
Option (right to buy) ⁽³⁾			02/16/2009	02/15/2017	Common shares	8,933	25.246	1 D					
Option (right	Option (right to buy) ⁽³⁾			02/15/2017	Common shares	8,933	25.246	1 D					
Option (right to buy) ⁽³⁾			02/15/2009	02/14/2018	Common shares	8,500	26.6973	3 D					
Option (right	Option (right to buy) ⁽³⁾			02/14/2018	Common shares	8,500	26.6973	3 D					
Option (right to buy) ⁽³⁾			02/15/2011	02/14/2018	Common shares	8,500	26.6973	3 D					
Option (right to buy) ⁽³⁾			02/20/2010	02/19/2019	Common shares	4,267	26.015	D					
Option (right to buy) ⁽³⁾		02/20/2011	02/19/2019	Common shares	4,267	26.015	D						
Option (right to buy) ⁽³⁾		02/20/2012	02/19/2019	Common shares	4,266	26.015	D						
Option (right to buy) ⁽⁴⁾			02/19/2011	02/18/2020	Common shares	3,234	26.1879) D					
Option (right to buy) ⁽⁴⁾			02/19/2012	02/18/2020	Common shares	3,233	26.1879	9 D					
Option (right to buy) ⁽⁴⁾			02/19/2013	02/18/2020	Common shares	3,233	26.1879) D					
Option (right to buy) ⁽⁴⁾			02/18/2012	02/17/2021	Common shares	4,100	22.391) D					
Option (right to buy) ⁽⁴⁾		02/18/2013	02/17/2021	Common shares	4,100	22.3919) D						

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)				
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)					
Option (right to buy) ⁽⁴⁾	02/18/2014	02/17/2021	Common shares	4,100	22.3919	D					
Option (right to buy) ⁽⁴⁾	02/17/2013	02/16/2022	Common shares	4,800	27.0336	D					
Option (right to buy) ⁽⁴⁾	02/17/2014	02/16/2022	Common shares	4,800	27.0336	D					
Option (right to buy) ⁽⁴⁾	02/17/2015	02/16/2022	Common shares	4,800	27.0336	D					
Option (right to buy) ⁽⁵⁾	08/02/2013	02/19/2014	Common shares	5,715	33.88	D					
Option (right to buy) ⁽⁵⁾	04/27/2013	02/19/2014	Common shares	2,137	31.6081	D					
Option (right to buy) ⁽⁴⁾	02/15/2014	02/14/2023	Common shares	21,234	34.94	D					
Option (right to buy) ⁽⁴⁾	02/15/2015	02/14/2023	Common shares	21,233	34.94	D					
Option (right to buy) ⁽⁴⁾	02/15/2016	02/14/2023	Common shares	21,233	34.94	D					
Option (right to buy) ⁽⁵⁾	08/20/2013	02/19/2014	Common shares	1,546	35.08	D					

Explanation of Responses:

1. Balance in the Abbott Laboratories Stock Retirement Trust as of February 28, 2013.

2. The reporting person disclaims beneficial ownership of all securities held by his daughter.

3. Employee stock option granted pursuant to the Abbott Laboratories 1996 Incentive Stock Program, in a transaction exempt from Section 16 under Rule 16b-3.

4. Employee stock option granted pursuant to the Abbott Laboratories 2009 Incentive Stock Program, in a transaction exempt from Section 16 under Rule 16b-3.

5. Employee stock option granted pursuant to the Abbott Laboratories 2009 Incentive Stock Program, including a replacement option feature, in a transaction exempt from Section 16 under Rule 16b-3.

<u>John A. Berry, Attorney-in-</u> Fact for Robert E. Funck

<u>03/08/2013</u>

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Exhibit 24.1

POWER OF ATTORNEY

The undersigned constitutes and appoints HUBERT L. ALLEN, JOHN A. BERRY and JESSICA H. PAIK, and each of them individually, as the undersigned's true and lawful attorney-in-fact and agent, with full power of substitution and re-substitution, for the undersigned and in the undersigned's name, place and stead, to prepare and sign any and all Securities and Exchange Commission ("SEC") Notices of Proposed Sales of Securities pursuant to Rule 144 under the Securities Act of 1933 on Form 144, all SEC statements on Forms 3, 4 and 5 as required under Section 16(a) of the Securities Exchange Act of 1934, including a Form ID and any other documents necessary to obtain codes and passwords necessary to make electronic filings, and any amendments to such forms, and to file the same with all exhibits thereto, and other documents in connection therewith, with the SEC, granting unto said attorney-in-fact and agent full power and authority to do and perform each act and thing requisite, necessary or desirable to be done under said Rule 144 and Section 16(a), as fully for all intents and purposes as the undersigned might or could do in person, hereby ratifying and confirming all that said attorney-in-fact and agent may lawfully do or cause to be done by virtue hereof.

A copy of this power of attorney shall be filed with the SEC. The authorization set forth above shall continue in full force and effect until the undersigned is no longer required to file Forms 144, 3, 4, or 5 or if earlier, until the undersigned revokes such authorization by written instructions to the attorney-in-fact.

Date: January 2, 2013

/s/ Robert E. Funck
Signature of Reporting Person

Robert E. Funck

Abbott Laboratories 100 Abbott Park Road Abbott Park, IL 60064