FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| wasnington, D | .C. 20549 |
|---------------|-----------|
|---------------|-----------|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPI                 | ROVAL     |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response.      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Alpern Robert J      |                                                                       |                                            |                                                   |               |                              |                                                             |                            |                                                     |                                             |        |                          |                                                  |                                      |                                                |                                                                   | tionship of Reporting F<br>all applicable)<br>Director                                                            |                                                                   | son(s) to Iss<br>10% Ov                                                  |                                                                    |  |
|---------------------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|---------------------------------------------------|---------------|------------------------------|-------------------------------------------------------------|----------------------------|-----------------------------------------------------|---------------------------------------------|--------|--------------------------|--------------------------------------------------|--------------------------------------|------------------------------------------------|-------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|--------------------------------------------------------------------------|--------------------------------------------------------------------|--|
| (Last)                                                        | `                                                                     | irst) ET, C203 SHM                         | (Middle)                                          |               |                              | 3. Date of Earliest Transaction (Month/Day/Year) 09/30/2022 |                            |                                                     |                                             |        |                          |                                                  |                                      |                                                |                                                                   | Officer (give title Other (spec<br>below) below)                                                                  |                                                                   |                                                                          |                                                                    |  |
| (Street) NEW HA                                               | AVEN C                                                                | Γ                                          | 06520<br>(Zip)                                    |               | 4. If                        |                                                             |                            |                                                     |                                             |        |                          |                                                  |                                      | Indi                                           | ·                                                                 |                                                                                                                   |                                                                   |                                                                          |                                                                    |  |
| (City)                                                        | (3                                                                    |                                            | le I - Non-                                       | -Deriv        | ative                        | Sec                                                         | uritia                     | 26 Ac                                               | quired F                                    | )ier   | nosed (                  | of or Re                                         | nefici                               | ally                                           | Owner                                                             | ٠                                                                                                                 |                                                                   |                                                                          |                                                                    |  |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Date) |                                                                       |                                            | action                                            | 2/<br>Exr) if | A. Deer<br>xecutio           |                                                             | 3.<br>Transact<br>Code (In | 3. 4. Secu<br>Transaction Dispos<br>Code (Instr. 5) |                                             |        | ed (A) or<br>str. 3, 4 a | ) or 5. Amo<br>4 and Securit<br>Benefit<br>Owned |                                      | nt of<br>es<br>ally<br>Following               | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |                                                                                                                   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                                                                          |                                                                    |  |
|                                                               |                                                                       |                                            |                                                   |               |                              |                                                             |                            | Code                                                | ,                                           | Amount | (A) or<br>(D)            |                                                  | <b>!</b>                             | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |                                                                   |                                                                                                                   |                                                                   |                                                                          |                                                                    |  |
|                                                               |                                                                       | Т                                          | able II - D                                       |               |                              |                                                             |                            |                                                     | uired, Dis<br>s, options                    |        |                          |                                                  |                                      |                                                | Owned                                                             |                                                                                                                   |                                                                   |                                                                          |                                                                    |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)           | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution I<br>if any<br>(Month/Day | Date,         | 4.<br>Transa<br>Code (<br>8) |                                                             | of E                       |                                                     | 6. Date Exer<br>Expiration D<br>(Month/Day/ | ate    | Amount of                |                                                  | f<br>g<br>Security                   | Di<br>Si                                       | Price of<br>erivative<br>ecurity<br>nstr. 5)                      | 9. Number<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | Owner<br>Form<br>Director Inc<br>(I) (In                          | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|                                                               |                                                                       |                                            |                                                   |               | Code                         | v                                                           | (A)                        | (D)                                                 | Date<br>Exercisable                         |        | xpiration<br>ate         | Title                                            | Amoun<br>or<br>Numbe<br>of<br>Shares |                                                |                                                                   |                                                                                                                   |                                                                   |                                                                          |                                                                    |  |
| Stock<br>Equivalent<br>Units                                  | (1)                                                                   | 09/30/2022                                 |                                                   |               | A                            |                                                             | 81                         |                                                     | (1)                                         |        | (1)                      | Common<br>Shares                                 | 81                                   |                                                | \$96.76                                                           | 8,918 <sup>(2</sup>                                                                                               | 2)                                                                | D                                                                        |                                                                    |  |

## **Explanation of Responses:**

- 1. Director fees credited to a stock equivalent unit account under a grantor trust established by the director and paid, in cash, generally at age 65 or upon retirement from the board. The stock equivalent units earn the same return as if the fees were invested in Abbott shares
- 2. Balance includes stock equivalent units acquired pursuant to a dividend reinvestment feature.

/s/ Robert J. Alpern by Jessica H. Paik, Attorney-in-Fact

10/04/2022

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.