SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Ahlberg Gregory A			2. Date of Event Requiring Statement (Month/Day/Year) 10/01/2020 3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>ABBOTT LABORATORIES</u> [ ABT ]							
(Last) (First) (Middle) 100 ABBOTT PARK ROAD					4. Relationship of Reporting Person(s) Issuer (Check all applicable)		File		f Amendment, Date of Original d (Month/Day/Year)	
(Street) ABBOTT PARK (City)	IL 60064 (State) (Zip)	4			Director 10% Owner X Officer (give Other (specify title below) below) Senior Vice President			6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or In (I) (Instr	ership 4. Nati Direct Owner ndirect		ture of Indirect Beneficial ership (Instr. 5)		
Common shares without par value					6,526	E				
Common shares without par value				10,596 <sup>(1)</sup>	I	Profit Shar		it Sharing T	rust	
Table II - Derivative Securities Beneficially Owned(e.g., puts, calls, warrants, options, convertible securities)										
E (t		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Sec Underlying Derivative Sec (Instr. 4)		ity Conver or Exer		5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
			ate kercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)	5)
Option (righ	t to buy) <sup>(2)</sup>	C	08/14/2018	08/13/2027	Common shares	6,000	48.9	8	D	
Option (righ	t to buy) <sup>(3)</sup>	C	02/16/2019	02/15/2028	Common shares	46,403	59.94		D	
Option (righ	tt to buy) <sup>(4)</sup>	C	)2/22/2020	02/21/2029	Commons shares	38,190	75.9	9	D	
Option (right to buy) <sup>(5)</sup>		C	02/21/2021	02/20/2030	Common shares	32,244	87.7	<sup>7</sup> 2	D	

**Explanation of Responses:** 

1. Balance in the Abbott Laboratories Stock Retirement Trust as of October 1, 2020.

2. Employee stock option granted pursuant to the Abbott Laboratories 2017 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3. The option becomes exercisable in annual increments of 5,334 on August 14, 2018, 5,333 on August 14, 2019 and 5,333 on August 14, 2020.

3. Employee stock option granted pursuant to the Abbott Laboratories 2017 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3. The option becomes exercisable in annual increments of 15,468 on February 16, 2019, 15,467 on February 16, 2020 and 15,468 on February 16, 2021.

4. Employee stock option granted pursuant to the Abbott Laboratories 2017 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3. The option becomes exercisable in annual increments of 12,730 on each of February 22, 2020, February 22, 2021 and February 22, 2022.

5. Employee stock option granted pursuant to the Abbott Laboratories 2017 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3. The option becomes exercisable in annual increments of 10,748 on each of February 21, 2021, February 21, 2022 and February 21, 2023.

> Jessica H. Paik, by power of attorney for Gregory A. 10/05/2020 Ahlberg \*\* Signature of Reporting Date Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Exhibit 24.1

POWER OF ATTORNEY

The undersigned constitutes and appoints HUBERT L. ALLEN, JESSICA H. PAIK, and AARON N. RICE, and each of them individually, as the undersigned's true and lawful attorney-in-fact and agent, with full power of substitution and re-substitution, for the undersigned and in the undersigned's name, place and stead, to prepare and sign any and all Securities and Exchange Commission ("SEC") Notices of Proposed Sales of Securities pursuant to Rule 144 under the Securities Act of 1933 on Form 144, all SEC statements on Forms 3, 4 and 5 as required under Section 16(a) of the Securities Exchange Act of 1934, including a Form ID and any other documents necessary to obtain codes and passwords necessary to make electronic filings, and any amendments to such forms, and to file the same with all exhibits thereto, and other documents in connection therewith, with the SEC, granting unto said attorney-in-fact and agent full power and authority to do and perform each act and thing requisite, necessary or desirable to be done under said Rule 144 and Section 16(a), as fully for all intents and purposes as the undersigned might or could do in person, hereby ratifying and confirming all that said attorney-in-fact and agent may lawfully do or cause to be done by virtue hereof.

A copy of this power of attorney shall be filed with the SEC. The authorization set forth above shall continue in full force and effect until the undersigned is no longer required to file Forms 144, 3, 4, or 5 or if earlier, until the undersigned revokes such authorization by written instructions to the attorney-in-fact.

Date: September 16, 2020

/s/ Gregory A. Ahlberg
Signature of Reporting Person

Gregory A. Ahlberg

Abbott Laboratories 100 Abbott Park Road Abbott Park, IL 60064