SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Common shares without par value

Common shares without par value

08/29/2018

08/29/2018

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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hours per response:

1. Name and Address <u>Contreras Jain</u>	1 0	*	2. Issuer Name and Ticker or Trading Symbol <u>ABBOTT LABORATORIES</u> [ABT]		tionship of Reporting Pers all applicable) Director	10% Owner Other (specify below)	
(Last) 100 ABBOTT PA	(First) RK ROAD	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/29/2018	X	Officer (give title below) Senior Vice Pre		
(Street) ABBOTT PARK (City)	IL (State)	60064	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	orting Person	

(City) (State) (Zip)								Person			
Table I	- Non-Derivative S	Securities Acc	uired	, Dis	posed of,	or Ben	eficially	Owned			
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common shares without par value	08/29/2018		М		59,800	A	\$34.94	110,236	D		
Common shares without par value	08/29/2018		М		112,668	A	\$39.12	222,904	D		
Common shares without par value	08/29/2018		S		2,300	D	\$66.64	220,604	D		
Common shares without par value	08/29/2018		S		699	D	\$66.645	219,905	D		
Common shares without par value	08/29/2018		S		2,100	D	\$66.65	217,805	D		
Common shares without par value	08/29/2018		S		2,500	D	\$66.655	215,305	D		
Common shares without par value	08/29/2018		S		1,501	D	\$66.66	213,804	D		
Common shares without par value	08/29/2018		S		2,400	D	\$66.665	211,404	D		
Common shares without par value	08/29/2018		S		600	D	\$66.67	210,804	D		
Common shares without par value	08/29/2018		S		1,300	D	\$66.675	209,504	D		
Common shares without par value	08/29/2018		S		1,500	D	\$66.68	208,004	D		
Common shares without par value	08/29/2018		S		2,300	D	\$66.685	205,704	D		
Common shares without par value	08/29/2018		S		600	D	\$66.69	205,104	D		
Common shares without par value	08/29/2018		S		800	D	\$66.695	204,304	D		
Common shares without par value	08/29/2018		S		1,900	D	\$66.7	202,404	D		
Common shares without par value	08/29/2018		S		110	D	\$66.705	202,294	D		
Common shares without par value	08/29/2018		S		900	D	\$66.71	201,394	D		
Common shares without par value	08/29/2018		S		2,200	D	\$66.715	199,194	D		
Common shares without par value	08/29/2018		S		1,000	D	\$66.72	198,194	D		
Common shares without par value	08/29/2018		S		1,400	D	\$66.73	196,794	D		
Common shares without par value	08/29/2018		S		500	D	\$66.735	196,294	D		
Common shares without par value	08/29/2018		S		1,000	D	\$66.74	195,294	D		
Common shares without par value	08/29/2018		S		1,900	D	\$66.745	193,394	D		
Common shares without par value	08/29/2018		S		1,400	D	\$66.75	191,994	D		
Common shares without par value	08/29/2018		S		100	D	\$66.755	191,894	D		
Common shares without par value	08/29/2018		S		2,100	D	\$66.76	189,794	D		
Common shares without par value	08/29/2018		S		690	D	\$66.765	189,104	D		
Common shares without par value	08/29/2018		S		58,993	D	\$66.77	130,111	D		

S

S

800

2,200

D

D

\$66.775

\$66.78

129,311

127,111

D

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Option (right to buy) ⁽¹⁾	\$34.94	08/29/2018		м			59,800	02/15/2016	02/14/2023	Common shares	59,800	\$0	0	D	
Option (right to buy) ⁽¹⁾	\$39.12	08/29/2018		М			112,668	02/21/2017	02/20/2024	Common shares	112,668	\$0	0	D	

Explanation of Responses:

1. Employee stock option granted pursuant to the Abbott Laboratories 2009 Incentive Stock Program, in a transaction exempt from Section 16 under Rule 16b-3.

Remarks:

These transactions were made pursuant to a previously adopted plan complying with Rule 10b5-1(c). Form 1 of 2.

Jessica H. Paik, by power of attorney for Jaime Contreras

** Signature of Reporting Person

08/31/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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