SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*

 WHITE MILES D

 (Last)   (First)   (Middle)

 100 ABBOTT PARK ROAD

 (Street)

 ABBOTT PARK IL   60064-6400

 (City)   (State)   (Zip) | 2. Issuer Name **and** Ticker or Trading Symbol
 ABBOTT LABORATORIES [**ABT**]

 3. Date of Earliest Transaction (Month/Day/Year)
 12/13/2016

 4. If Amendment, Date of Original Filed (Month/Day/Year) | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)

 X   Director   10% Owner
 X   Officer (give title below)   Other (specify below)
 Chairman and CEO

 6. Individual or Joint/Group Filing (Check Applicable Line)
 X   Form filed by One Reporting Person
 ☐   Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common shares without par value	12/13/2016		G	V	2,040	D	$0	3,109,927	D	
Common shares without par value								30,094[1]	I	Profit Sharing Trust
Common shares without par value	12/13/2016		G	V	1,020	A	$0	1,020[2]	I	By wife
Common shares without par value	12/13/2016		G	V	340	A	$0	9,158[2]	I	By son
Common shares without par value	12/13/2016		G	V	340	A	$0	9,172[2]	I	By son
Common shares without par value	12/13/2016		G	V	1,020	D	$0	0[2]	I	By wife
Common shares without par value	12/13/2016		G	V	340	A	$0	9,498[2]	I	By son
Common shares without par value	12/13/2016		G	V	340	A	$0	9,512[2]	I	By son

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Balance in the Abbott Laboratories Stock Retirement Trust as of December 13, 2016.

2. The reporting person disclaims beneficial ownership of all securities held by his wife and sons.

John A. Berry, by power of attorney for Miles D. White   12/16/2016

** Signature of Reporting Person   Date